The prospective potential of quality assurance in Latin America

2016 ASIIN INTERNATIONAL CONFERENCE Berlin

María José Lemaitre Executive Director CINDA



Centro Interuniversitario de Desarrollo

LATIN AMERICAN HIGHER EDUCATION: TRENDS, CHARACTERISTICS



Main trends in Latin American higher education

- Continuing enrolment growth, essentially dealing with traditionally excluded students (lower income, different/less cultural capital, adults) → different needs and interests
- Diversification of higher education (different institutional types, teaching/learning modes, student characteristics) normally not taken into account in QA processes
- Significant presence of private sector
- Strong influence of the market, both on public and institutional policies (regulation, funding, governance, management)



Special features of Latin America higher education

- Strong definition of institutional autonomy, with little or no regulation by governments. No public capacity to define midor long term policies and priorities.
- Segregated national higher education spaces, where different institutional types do not talk to each other (public / private, university / non university, research / teaching, tradititional / innovative)
 - → Imposible to think about a Latin American Higher Education Space without national higher education systems



A BRIEF DESCRIPTION OF LATIN AMERICAN QUALITY ASSURANCE PROCESSES



Development of national QA mechanisms:

- Early emergence (1990s)
- In a wide range of modes:
 - Ownership (government, public, private, institutional)
 - Purposes (quality control, accountability, improvement)
 - Focus (institutions, programs, both)
 - Character (compulsory, voluntary)
 - Degree of consolidation

Establishment of a regional network: RIACES

→ In general, highly successful (legitimized, accepted, validated)

Perceived impact of QA on HEI:

General consensus about its positive impact

At the global (national) level:

- It is perceived as an effective regulatory mechanism, which sometimes goes beyond its mandate
- It has made explicit the expectations about quality in HE
- However, some complaints: lack of recognition of diversity (the same standards apply to very different HEI); increased burocratization of procedures, which tend to focus on formal aspects, rather than on significant aspects related to quality

At the level of institutional management:

- Changes in organizational structure to accommodate QA requirements
- Development of information systems, and increased use of information for decision making
- Changes in management practices:
 - Managerialism, as a means to enhance the capacity of HEI to adapt to the demands of the market, with a managerial approach
 - Quality management, focused on achieving institutional purposes taking into account both external requirements and the principles and priorities emerging from its mission statement

At the teaching and learning process:

- Growing recognition of the central role of teaching and learning, albeit not yet translated in management policies
- Improved follow up of student progression and of graduates
- Improvement of the definition of ELO, curricular updating, improved teaching practices, more and better resources



ISSUES THAT NEED TO BE ADDRESSED – ONLY IN LATIN AMERICA?



- QA is a victim of its own success: need to revise QA to make it relevant for a second generation of QA
 - ➤ How to focus both on quality control and quality improvement, without endangering either
 - Need to strengthen institutional quality management processes
 - > Need to develop institutional research capacities
- Governments tend to see QA as a regulatory mechanism, with emphasis on control
 - ➤ Policies that clearly separate quality control from quality improvement
 - ➤ Risk of using QA instead of consistent national policies or guidelines

- Diversity tends to be seen as differences of quality, not the emergence of valid and valuable modes of HE
 - ➤ What is HE and where are its boundaries? What must be required from a university? Un-asked question the elephant in the room!
 - Rhetoric that values diversity, policies that promote convergence
- Need for a more professionalized approach to governance and management in HE
 - Managerialism (following a market centered, corporate model)
 - ➤ Quality management (focusing on institutional misión and effective response to requirements of significant environment)



HOW TO PROMOTE QUALITY MANAGEMENT



The challenge of quality

Quality can be defined with a double dimension:

Internal consistency: Consideration of institutional principles and priorities to identify its relevant environment and define its social commitments

→ institutional identity

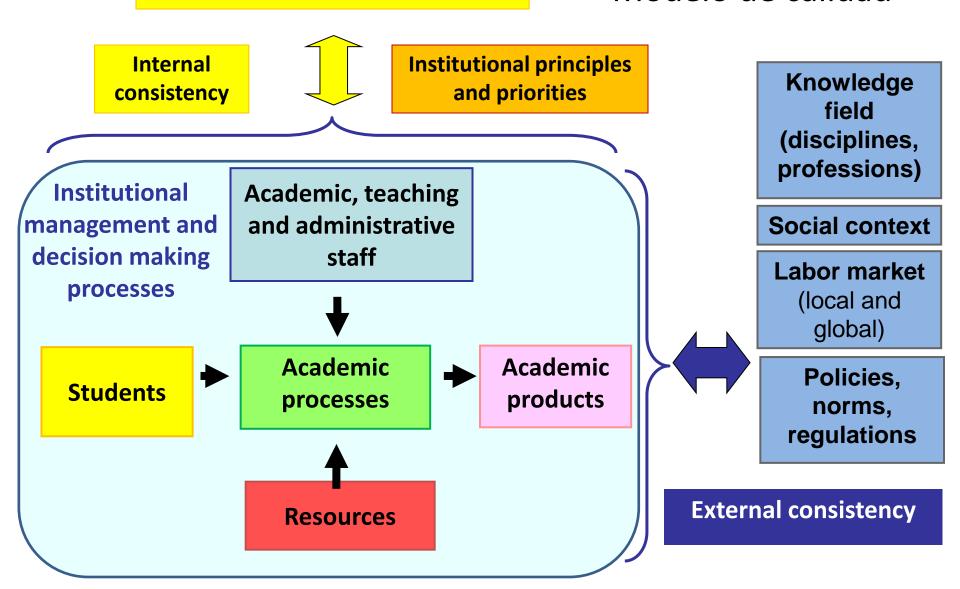
External consistency: Consideration of the requirements or expectations of the relevant environment

→ clear and relevant purposes

Quality management: Alignment of institutional components to achieve purposes

Institutional mission and vision

Modelo de calidad



Quality management: requirements at the institutional level

- Strong links between institutional mission and quality, in a context of social relevance
- Clear definition of institutional purposes, easily interpreted at the different levels of the HEI
- Mechanisms for monitoring institutional performance at all levels
- Assessment of resources, the effectiveness of actions, the relevance of results, the institutional context
- Strong links between evaluation and planning
- Follow up of improvement plans

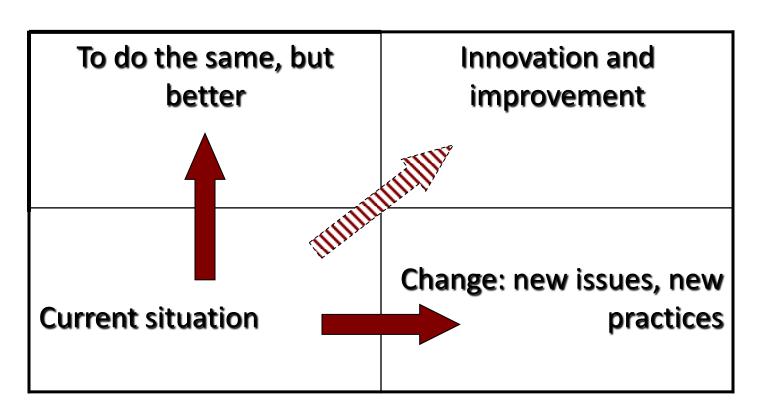
Quality management: challenges for QA agencies

- Need to revise standards and procedures. Take into consideration the need for diversity and the weight of academic drift
- Crisis of peer review: how to define who is an actual peer?
- Professionalization of QA, not only through practice (decision making councils, technical staff; training of external reviewers)
- Need to manage the tension between control of threshold standards and the promotion of continuiung improvement



- > Prepare effectively to take into account life long learning:
 - Recognition of prior learning, qualifications, credentials
 - ➤ Recognition of different teaching modes (part time students, modules, labels)
 - ➤ Emphasis on appropriate pedagogical practices (adjustment to student characteristics, use of ITC, redefinition of learning outcomes)
- Widen the concept of qualifications
 - Diverse learning itineraries and modes (TNE, online courses, OERs, MOOCs, among others)
 - Progress from substantial equivalence to substantive difference in recognition processes
- Capacity to recognize and promote innovation





Innovation



Final comments

- Higher education is not independent from the social context in which it operates: it is affected by it, and it is also an agent for change
- Quality is the primary responsibility of HEI but quality management needs to be promoted, valued, assessed, by QA processes
- To do something right at a given momento is no guarantee of continuing to do it right in the future → it is necessary to evaluate, to plan, to learn – and to un-learn!

